

Request for Exemption from Review of Research

Investigator:

Date:

Title of Study:

Some research involving human subjects may be exempt from the regulations. The categories below describe these exemptions. Please note that you can request an exemption only if **all** components of the research fit the category as described.

If you believe that your research may fall into any of the exempt categories, please check the box next to the category number(s) below. Your research will be reviewed to determine if an exemption can be granted.

Categories Eligible for Exemption (Please check the relevant category)

NOTE: These exemptions do not apply to research involving prisoners, pregnant women, human fetuses, or human in vitro fertilization.

1. ☐ Research conducted in established or commonly accepted educational settings, involving normal educational practices. Examples include:
 - a) Research on regular and special education instructional strategies, or
 - b) Research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
2. ☐ Research involving the use of educational tests (cognitive, diagnostic, aptitude, or achievement), survey procedures, interview procedures, or observation of public behavior.

Note: Except as noted above, this exemption applies to all such research involving adult subjects unless **both** of the following conditions apply:

 - ☐ Information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects (NOTE: Codes constitute identifiers.); and
 - ☐ Any disclosure of the subjects' responses outside of the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation.

Note: This exemption applies to research involving children, except that (i) research involving survey or interview procedures with children is not exempt, and (ii) research involving observation of the public behavior of children is not exempt if the investigator(s) participate(s) in the actions being observed.
3. ☐ Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not exempt under category 2 above:
 - ☐ The human subjects are elected or appointed public officials or candidates for public office; or
 - ☐ Federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
4. ☐ Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens (existing means research materials are already on the shelf or archived when the research is proposed; e.g., blood samples already taken from patients or subjects for other clinical or research purposes). This exemption applies:
 - ☐ These sources are publicly available, or
 - ☐ The investigator records the information in such a manner that individual subjects cannot be identified, directly or through identifiers linked to the subjects records the information.
5. ☐ Research and demonstration projects that are designed to study, evaluate, or otherwise examine:
 - ☐ Public benefit or service programs;
 - ☐ The procedures for obtaining benefits or services under such programs;
 - ☐ Possible changes in or alternatives to such programs or procedures; or
 - ☐ Possible changes in methods or levels of payment for benefits or services under such programs.

Note: This exemption applies only to research and demonstration projects studying federal programs and its use must be authorized by the Federal Agency supporting the research. As with all exemptions, IRBs and institutions retain the authority not to invoke the exemption, even if so authorized by the relevant Federal Agency. Studies of state and local public service programs require IRB review. Waiver of informed consent is possible for such programs under 45 CFR 46.116(c).
6. ☐ Taste and food quality evaluation and consumer acceptance studies, which meet any of the following conditions:
 - ☐ If wholesome foods without additives are consumed; or
 - ☐ If a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection of the US Department of Agriculture.

Signature of Investigator

Date